INSTRUCTIONS FOR COMPLETING HIRING AND REHIRING PAPERWORK

Needs to be completed for each quarter worked

Welcome to the Computer Science Department. Within your hiring paperwork packet you will find the following forms:

Biography Form to be completed by all employees

Statement of Understanding to be signed each quarter worked.

<u>UAW Local 2865 Membership Election form</u> *The UAW form must be completed by all Readers (New and/or Rehire).* Send completed UAW form directly to <u>uaw2865@uaw2865.org</u>.

Emergency Contact Form to be completed by all employees. Hardcopy must be completed. Employees should also add the Emergency Contact information into UCPath. Instructions will be emailed upon UCPath approval.

<u>Glacier Form</u> is intended for foreign individuals including graduate students, postdoctoral scholars, and visiting graduate researchers receiving employment appointments or scholarship/fellowship offers. This form will assist you with completingyour tax status record in GLACIER, an Online Tax Compliance System used by UCLA.

If this form pertains to you, you will receive an email from the Glacier Online Tax Compliance System support@online-tax.net

Oath and Patent

WHO MUST SIGN THE OATH: Only to be completed if you are a USCitizen

WHO MUST SIGN PATENT: All Employees

SSN Statement Please read and sign form for each quarter worked.

Employee Number: Enter University ID (UCLA ID) (UID)

Campus: UCLA

Department: Computer Science

Date of Hire: Please confirm your start date with the Hiring Contact: Therese Garcia at therese@cs.ucla.edu

Worker's Compensation to be completed by all employees.

<u>I-9 Form via Tracker System</u> to be completed once you have signed and returned your offer letter to Peyton Reddick . You will receive an email from *employment.authorization@universityofcalifornia.edu*.

<u>CA State Withholding (DE-4)</u> please complete once you are approved by UCPath. Instructions will be emailed upon UCPath approval.

W-4 Form to be completed once you have access to UCPath. Instructions will be emailed upon UCPath approval.

CA State Withholding (DE-4) please complete once you are approved by UCPath. Instructions will be emailed upon UCPath approval.

<u>Direct Deposit</u> Optional. Instructions regarding setting up direct deposit will be emailed once your appointment is approved in UCPath. If you do not add direct deposit, your paycheck will be delivered to the address on file in UCPath. Please note: UCPath for is Payroll. BruinDirect is for fellowship stipends.

Gender-neutral restroom map & Lactation Stations map please click on each link to the interactive UCLA map; each link will

provide gender-inclusive restrooms and lactation stations

Tracker I-9 completion date cannot be backdated

Tracker I-9 Requirements email will look like this:

Please read instructions carefully and complete online



Temporary Form due to COVID-19 Please complete for each quarter worked. If any question does not apply to you, use "n/a" to indicate that it is not applicable.

Questionnaire

- 1. Are you a current enrolled UCLA student?
- 2. What state or country are you planning to temporarily work remotely from due to COVID-19?
- 3. Are you currently in the United States? Yes No If not, what country are you located?
- 4. If you answered "no" to question #3 and will be outside of the United States during your appointment, please submit a UCLA Teleworking Aboard Exception Packet to Therese Garcia at therese@cs.ucla.edu
- 5. Teleworking Aboard Exception Packet can located at https://www.cs.ucla.edu/dept-forms/
- 6. NOTE: Work should NOT be conducted in a foreign country until approval/notification to has been provided.
- 7. Please see below for additional information.

UCLA Teleworking Aboard Exception Packet for Academic Student Employees (ASE), Graduate Student Researchers (GSR), and Postdoctoral Scholars

The following exception request form for overseas telework should be completed for the following eligible academic appointees:

- 1. Academic appointees (including student employees) who have a visa but are subject to a travelrestriction and cannot enter the U.S.;
- 2. Newly appointed academic appointees (including student employees) who have been unable to obtain their visa to enter the U.S.; and
- 3. Current academic appointees (including student employees) who have been unable to renew their visato enter the U.S.

For eligible academic appointees, temporary overseas telework may be approved through December 31, 2021.

Allow up to 30 calendar days for processing.

BIOGRAPHY Computer Science Department UCLA HSSEAS

(Please print in ink or type.)			DATE:
NAME:	Middle Name:	UID#	SSN:
Legal First and Last Name			
DATE OF BIRTH: Month, Date, Year	GENDER IDENTITY:		CELL PH#:
CURRENT MAILING ADDRESS:			
Street, Apt/Unit		City, State, (Cou	ntry) Zip Code
EMAIL:		ADVISOR:	
CA RESIDENT?_ Yes No NON US CITIZENS - VISA TYPE: Stude			Citizenship:
MAJOR DEPT:DEGREE (OD IECTIVE: MS	PHD AREA OF SPE	CIALIZATION:
DEGREE (JBJECTIVE:IVIS	PHD AKEA OF SILE	SIALIZATION.
PREVIOUS DEGREE(s) EARNED: Degree Institution	<u>Major</u>	<u>Dates Attended</u>	Date Degree Rec'd GPA
UC GRAD GPA: GRAD	UNITS COMPLETED:	ATC:Yes	No ATC DATE:
EMPLOYMENT DATA: (Please provide only the	employment data that will be re	elevant to your request for assi	stantship consideration.)
<u>Employer</u> <u>Date</u>	s of Employment	Position Held	Nature of Work
HAVE YOU EVER BEEN EMPLOYED BY ANOT	HER DEPT Yes: No:	DATES EMPLOYED:	DEPT:

UCPath ID (Please provide if you have been assigned one):

STATEMENT OF UNDERSTANDING

UCLA Academic Apprentice Personnel Fee Remission Benefits For 2021-2022

Congratulations on receiving an academic apprentice appointment at UCLA! Academic apprentice titles are intended to provide qualified students with relevant training experience for academic and academic-related careers in teaching and research. Apprentice personnel in the research series (i.e., Graduate Student Researchers - Job Code 003276) are regarded primarily as students being professionally trained. However, students employed in the following title codes are also regarded as employees and are known as Academic Student Employees (ASE):

ACADEMIC STUDENT EMPLOYEE (ASE) PERSONNEL JOB CODE							
Reader	002850	Remedial Tutor I	002288	Tutor	002860	Teaching Associate	001506
Special Reader	002852	Remedial Tutor II	002289	Teaching Assistant	002310	Teaching Fellow	002300

ASEs are represented by the International Union, United Automobile, Aerospace, and Agricultural Implement Workers of America (UAW). The collectively negotiated agreement between the Regents of the University of California and UAW (UAW Contract) covers ASE policies, rights, terms of employment, benefits, and grievances. For more information, visit http://ucnet.universityofcalifornia.edu/labor/bargaining-units/bx/contract.html. The intent of this Statement of Understanding is to outline those benefits and corresponding eligibility requirements provided to ASEs in the UAW Contract. In the event a discrepancy exists between the benefits and eligibility requirements provided included in this form and the UAW Contract, the UAW Contract takes precedence.

Please read carefully through the following information pertaining to eligibility criteria and benefit entitlement. **You must also sign and date the back and return the form to your departmental apprentice personnel officer**. If you have any questions regarding these policies, contact your academic advisor or hiring department. For any additional inquiries contact Graduate Fellowships and Financial Services at gds.ducla.edu.

The following table summarizes the benefits and the requirements necessary to qualify for and maintain these benefits:

Quarterly Based Fee Remissions

Appointment	Benefit	Quarter's Estimated Value	GPA	Units	Maximum Number of Quarters as Academic Apprentice Personnel	Percent of Time
Graduate Student Researcher (GSR)	Health Insurance (100%) (non-refundable if student waives UC-SHIP)	\$1,573.37	3.0	12	No limit	Greater than or equal to 25%
GSR	Fee Remission 1 (100% of Tuition)	\$3,814.00	3.0	12	No limit	Greater than or equal to 25%
GSR	Fee Remission 2 (100% of Student Services Fee)	\$376.00	3.0	12	No limit	Greater than or equal to 25%
GSR	Nonresident Supplemental Tuition (NRST) Remission (For U.S. Citizens and Permanent Residents, should only apply within their first year of graduate study at UCLA)	\$5,034.00	3.0	12	No limit	Greater than or equal to 45%GSR only (does not apply to a combination of ASE + GSR positions)
Academic Student Employee (ASE)	Health Insurance (100%) (non-refundable if a student waives UC SHIP)	\$1,573.37	3.0	12	12 academic quarters and, by exception once ATC, no more than 18 academic quarters of ASE series titles	Greater than or equal to 25%

ASE	Fee Remission 1 (100% of Tuition)	\$3,814.00	3.0	12	exception once than 18 acade	uarters and, by ATC, no more mic quarters of ies titles	Greater than or equal to 25%
ASE	Fee Remission 2 (100% of Student Services Fee)	\$376.00	3.0	12	exception once than 18 acade	uarters and, by ATC, no more mic quarters of ies titles	Greater than or equal to 25%
ASE	Campus Fee Remission	\$100.00	3.0	12	12 academic q exception once than 18 acade ASE ser	Greater than or equal to 25%	
*BALANCE OF FEES	Fee remissions do not cover all graduate student fees. Those additional fees are the responsibility of the graduate student to ensure payment by the applicable deadlines. Fees are subject to change at any time.				FALL 2021	WINTER 2022	SPRING 2022
	ASE				\$56.40	\$55.40	\$54.39
	GS	R			\$156.40	\$155.40	\$154.39

Semester Based Fee Remissions¹:

- 1 quarter appointment = quarter-based fee remissions
- 2 quarters appointment = two-thirds of annual fee remission amounts
- 3 quarters appointment = annual amount of fee remissions

Appointment	Benefit	Semester Estimated Value	GPA	Units	Percent of Time
Graduate Student Researcher (GSR)	Health Insurance (100%) (non-refundable if student waives UC-SHIP)	\$2,360.05	3.0	12	Greater than or equal to 25%
GSR	Fee Remission 1 (100% of Tuition)	\$5,721.00	3.0	12	Greater than or equal to 25%
GSR	Fee Remission 2 (100% of Student Services Fee)	\$564.00	3.0	12	Greater than or equal to 25%
GSR	Nonresident Supplemental Tuition (NRST) Remission (For U.S. Citizens and Permanent Residents, should only apply within their first year of graduate study at UCLA)	\$6,122.00	3.0	12	Greater than or equal to 45%GSR only (does not apply to a combination of ASE + GSR positions)
Academic Student Employee (ASE)	Health Insurance (100%) (non-refundable if a student waives UC SHIP)	\$2,360.05	3.0	12	Greater than or equal to 25%

4

¹ Semester students serving quarterly appointments will receive fee remissions commensurate with the duration of their appointments. For example, semester students appointed for one quarter will receive quarterly-based fee remissions. In contrast, those appointed for two or three quarter-based appointments will receive two-thirds or annualized quarterly fee remission amounts respectively.

ASE	Fee Remission 1 (100% of Tuition)	\$5,721.00	3.0	12	Greater than o	or equal to 25%
ASE	Fee Remission 2 (100% of Student Services Fee)	\$564.00	3.0	12	Greater than or equal to 25	
ASE	Campus Fee Remission	12	Greater than	or equal to 25%		
*BALANCE OF FEES	Fee remissions do not cover a additional fees are the responsions and the application of the series	FALL 2021	SPRING 2022			
		ASE			\$20,977.10	\$19,849.09
		GSR			\$21,077.10	\$19,949.09

^{*} Balance of fees varies for new and continuing students. Please consult your BruinBill account for the actual balance of fees or the Registrar's Office website: http://www.registrar.ucla.edu/Fees-Residence/Overview

Also, note that you must be continuously registered and enrolled throughout the applicable appointment period(s) in the required number of units by *no later than the third week* of instruction and be employed at 25% time or greater.

It is important to understand the above not only to maintain those benefits initially provided to you, but also to ensure that you receive the full benefits associated with your total academic apprentice appointment with the university. The percentage of time accumulates in the instance where you have more than one qualifying apprentice appointment. Even if each appointment is less than 25%, you become eligible for some or all of the remissions if two or more such apprentice appointments total 25%. If this is the case, you must speak with your departmental administrator to ensure that a remission is processed.

Should you fail to meet and/or maintain these requirements, you will be billed for all remissions issued to you for that quarter for which you are ineligible. Failure to complete assigned duties for an extended period of time may be deemed an abandonment of the position and may result in termination of the apprentice position, denial of re-employment and/or other appropriate sanctions.

<u>Disclaimer:</u> Should a student receive multiple fee/tuition awards for a given term, the university will apply a combination and/or a singular award deemed fit to cover application fee remission amounts which may include or exclude fee remission funding.

SUBSTITUTE TEACHING WORK

Substitute teaching work performed by an ASE is compensable when coordinated and approved in advance by the hiring unit. The work may include but not limited to, preparation time, classroom time, grading, and office hours. The department's human resources coordinator should ensure that the ASE is appropriately compensated. For any questions related to additional compensation for substitute work contact the hiring unit human resources coordinator.

TEST OF ORAL PROFICIENCY EXAMINATION (TOP)

Graduate students, whose native language is not English, are required to take a campus-administered Test of Oral Proficiency examination (TOP) and pass it at 7.1 or above in order to be eligible for a teaching assistantship at UCLA. A student is exempt from this testing requirement if they have earned a prior undergraduate degree from an institution at which English was the sole language of instruction.

HEALTH INSURANCE

WAIVING

If you decide to waive your health insurance and submit a UC SHIP (University of California Student Health Insurance Plan) waiver for the term, and subsequently become eligible for the university health insurance remission, you will not be eligible to enroll in UC SHIP until the next term. Your UC SHIP waiver cannot be reversed for the term. There are no

exceptions, and there is no appeal process for waiving out of UC SHIP after your registration fees have been paid for the term. Please also note that a waiver of UC SHIP does not result in a refund back to you if you're enrolled in another insurance plan, directly pay or receive funding.

COVERAGE FOR STUDENTS ON FEE PENDING (FP) STATUS

Students on Fees Pending (FP) status have access to and may avail themselves of all services offered at Ashe, included but not limited to primary care visits, in-house specialty, x-rays, lab services, and prescriptions. Further, students with FP status have access to medical care at Ashe without the concern of incurring out-of-pocket costs at the time of visit. Students in need of health services can make appointments by phone (310-825-4073, Option 1) or in person (on the 1st floor of the Ashe building). For any questions related to health care services at Ashe associated with having FP status, please call the Insurance Office at 310-825-4073, Option 4.

ADVANCE LOANS

Academic Apprentice Personnel (ASEs/GSRs) are eligible to receive an interest-free advance on their student funding provided they have an active UCPath appointment for the applicable term at the time of submission and submitted a properly completed application within the following periods:

Fall 2021	September 13, 2021 – September 29, 2021
Winter 2022	November 29, 2021 – December 10, 2021
Spring 2022	March 8, 2022 – March 28, 2022

The loan will be paid back through equal payroll deductions taken from the second and third paychecks of the academic quarter.

FEE DEFERRALS

All academic apprentice personnel are eligible to receive a fee deferral for registration fees assessed during the quarter in which they serve as an academic apprentice. You are responsible for ensuring that these fees are paid by the payback deadline. In general, the deferred payment deadline is two months after the standard quarterly due date. If your fees are not paid by this date, you will be liable for an additional \$50 late charge. Please contact your departmental administrator to request a fee deferral. Please note that fee deferrals cannot be reversed and if you decide not to enroll after a fee deferral has been posted, you are still liable to pay the tuition and registration fees.

FICA WITHHOLDING

The student FICA tax exemption is applied in withholding on wage payments to graduate employees. If a graduate student employee (TA, GSR, etc.) carries six or more units during a given quarter and works less than 80% time, no FICA is deducted. Nonresident aliens on F1 or J1 visas who are pursuing the purpose for which their visa was issued are exempt from FICA withholdings until they become substantially present in the United States (i.e., qualify as a US tax resident). Should the employee's enrollment drop below the requisite number of units, FICA will begin to be deducted automatically from his/her paycheck. In addition, FICA will be withheld from wages in any month in which the number of days falling inside an instructional period is exceeded by the number of days falling outside of the instructional period; this situation often occurs during September and June.

FINANCIAL AID ELIGIBILITY

Students receiving financial aid who are subsequently eligible for remission benefits may experience a reduction to their awards. The reduction will appear on your academic year Electronic Financial Aid Notification (eFAN). You must report this information to the Financial Aid and Scholarships Office as soon as possible to avoid potential billing. Delay in reporting this information may result in you receiving financial aid in excess of your eligibility, which will necessitate that you be billed for funds already received. If you have questions, please contact the Financial Aid and Scholarships Office at https://www.financialaid.ucla.edu/contact-us

STATEMENT OF UNDERSTANDING

I, the undersigned, have read, understand, and agree to the conditions and benefits set forth for UCLA Academic Apprentice Personnel. I understand that in order to hold an academic apprentice appointment and receive fee remissions, I must:

- 1. Be enrolled in at least 12 units by the end of the third week of the quarter/semester of the appointment (student must remain registered and enrolled in at least 12 units through the end of the quarter/semester of the appointment);
- 2. Have at least a 3.0 cumulative GPA at the time of appointment;
- 3. Serve under the tutelage and supervision of regular faculty members;
- 4. Serve no more than 12 academic quarters (4 years) in apprentice teaching titles;
- 5. Work 25% time during the applicable appointment period (maximum percentage time permitted);
- 6. Passed the TOP exam prior to being appointed under any teaching title if English is not my native language;
- 7. If appointed as a Teaching Assistant/Associate and/or Fellow, must be enrolled in a 375 course for the applicable terms appointed;
- 8. I am aware that I may be responsible for paying the balance of fees and nonresident supplemental tuition if applicable by the fee payment deadline and must clarify with my hiring and/or home academic department(s) as to how much I need to cover each applicable term.

I understand that if I have any other questions regarding university policy and/or academic apprentice appointments, I may contact the Graduate Fellowships and Financial Services Office, or my departmental administrator, for further elaboration.

UID	Print name	Signature	Date
For Hiring Department Use only		ademic Apprentice Hiring Checklist for Departments to verents marked below or has received an exception approval	•
Name of Dept. Pe	rsonnel Administrator	Signature of Dept. Personnel Administrator	Date
3.0 cu	mulative GPA at the time o	of appointment or petition for exception	approved
	equirement met (Graduate n for exception approved	Student whose native language is not English	h) prior to appointment or
	re than 12 academic quar ved. <i>Number of quarters in</i>	ters in academic student employee titles or ASE titles	petition for exception
ASE hi	re given UAW Membership	Election Form.	



UC STUDENT-WORKERS UNION

UAW LOCAL 2865
Membership Election Form

STUDENT STATUS (MA, UG, Ph.D., etc.)

Welcome to your union! UAW Local 2865 is the union chosen by the majority of Readers, Tutors, Teaching Assistants, and Graduate Student Instructors. The Union negotiates contracts with the university administration covering wages, benefits, hours, rights, terms and conditions of employment. This is the form by which you voluntarily accept or decline membership.

At UC, the Union:

- •Is run by student employees like you;
- •Enables student employees to resolve workplace problems;
- •Gives student employees a collective voice in advocating for important issues such as increased wages, rights for international students, and equity and diversity in academia.

Because UC student employees formed a Union in 1999, we have:

•Increased wages more than 33% compared to student employees outside of the Union.

These rights and protections are only possible because a majority of student employees are dues-paying members of our union. By becoming a member, you increase the strength of the Union, you're able to participate in the Union's decision-

- •Won high quality health insurance at a low cost to student employees.
- •Achieved protections from discrimination and harassment in the workplace.
- •And gained many more rights and protections.

making process, and you can run for leadership positions if you choose. You are also doing your part to support the community of student employees at the University of California and building the future of public education. laccept membership in UAW Local 2865. Lagree to pay a one-time \$10 initiation fee and monthly dues, currently 1.44% of my gross pay. I authorize UC to deduct the initiation fee and monthly membership dues from my pay and remit them to the Union. Unless revoked, this authorization is to remain in effect for all periods of time in which I am a UAW member and receiving a paycheck for work performed as a TA, Tutor, Reader, or Graduate Student Instructor. I decline membership in UAW Local 2865. NAME (Please print) **SIGNATURE** DATE HOME / ALT. PHONE EMAIL (Non-UC address preferred) MOBILE PHONE ASE TITLE (Reader, Tutor, GSI, TA) DEPT. EMPLOYED DEPT. ENROLLED

QUESTIONS?

OFFICE LOCATION (Building, room #)

We are here to help! Visit www.uaw2865.org, email us at uaw2865@uaw2865.org, or give us a call at 510-549-3863. You can learn about your contract here: www.uaw2865.org/resources. Please direct all questions to the Union, not the University. Please return this form to the Union at uaw2865@uaw2865.org, or by handing it in to your hiring administrator.

PI / RESEARCH GROUP

Initial GLACIER Information Form

<u>Department Instructions</u>: Complete this form, provide a copy to the foreign individual, and instruct the foreign individual to use this form when completing their tax status record in GLACIER, an Online Tax Compliance System used by UCLA. Fax a copy of this form to Payroll Services @ (310) 794-8751 (EXCEPTION: Scholarship/Fellowship Recipients – No Fax needed)

Foreign Individual Instructions: You will be receiving an email from support@online-tax.net with your Logon ID and Password to Glacier, an Online Tax Compliance System used by UCLA. Within 7 days of the receipt of the email, please log in to Glacier and provide UCLA with your tax information in order to have the proper amount of tax withheld from UCLA payments made to you. After completing your Glacier tax status record, print, review, sign, and date the required tax documents that Glacier creates. Then forward those documents along with any required attachments noted on Tax Summary, to the address indicated on the Tax Summary Report Instructions.

NAME Last (Print Legibly)			First	Initial	
EMAIL AD	DRESS				
(If UCLA Employee) Pay Period Frequency (Check one)		x Monthly		סוט	
		ship with UCLA but check only one Relationship Type per Category) Relationship Type		Income Type (If applicable, select one choice per category)	
Employee	□ P 😡 T.	ostdoct A/GSR	culty/Staff/Student stdoctoral Scholar //GSR inical Appointee		/Salary/Bonus ncome
Scholarship/Fellowship Recipient	D P	ost Doc	CLA Student´ est Doctoral Scholar/Visiting Scholar l Others		ship/Fellowship: Award hip/Fellowship: Taxable Post doc Benefits ship/Fellowship: Other
Independent Contractor	þ A		eaker erformer/Athlete nt/All Others	☐ Consult	ipeaker Fee/Honorarium ing Fee/Artistic Performance Fee Ion-Employee Service Payment
Royalty Recipient Non-Employee/Others		oyalty rize or	Award Recipient/All Others	☐ Other P	ward/Rent Payment Payment
				□ No Pay	ment

Computer Science Department School of Engineering and Applied Science University of California, Los Angeles

To: All Compute	r Science Personnel	
In case of emerg	gency, contact	
Name		
Address (S	treet, City, State, and Zip Code)	
Telephone Number	ſ	Relationship
2 nd contact perso	n, if the above not available	
Name		
Address (S	treet, City, State, and Zip Code)	
Telephone Number	τ	Relationship
	Signature:	
	Printed Name:	
	Date:	

Privacy Notification

The State of California Information Practices Act of 1977 requires the University to provide the following information to individuals who are asked to supply information about themselves:

The principal purpose of requesting the information on this form is to provide emergency information.

University Policy authorizes maintenance of this information.

Furnishing the information requested on this form is voluntary. There is no penalty for not completing the form. Information furnished on this form will be transmitted to the state and federal government if required by law. Individuals have the right of access to this record as it pertains to themselves.

Temporary Oath/ Patent Process: This form will need to be completed during ZOOM.



UNIVERSITY OF CALIFORNIA STATE OATH OF ALLEGIANCE. PATENT POLICY, AND PATENT ACKNOWLEDGMENT

EMPLOYEE'S NAME (Last, First, Middle Initial)

DATE PREPARED Mo/Dy/Yr

UPAY585 (R 11/2011) E0420 71443-180

EMPLOYEE ID

DEPARTMENT

EMPLOYMENT DATE Mo/Dy/Yr

STATE OATH OF ALLEGIANCE I do solemnly swear (or affirm) that I will support and defend the Constitution of the United States and the Constitution of the State of California against all enemies, foreign and domestic; that I will bear true faith and allegiance to the Constitution of the United States and the Constitution of the State of California; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties upon which I am about to enter.

Taken and subscribed before me on: Mo/Dy/Yr	Signature of Officer or Employee:
Signature of Authorized Official:	(Do not sign until in the presence of proper witness.)
Title:	-NOTE: No fee may be charged for administering this oath. County:
State:	—

The oath must be administered by either (1) a person having general authority by law to administer oaths—for example, Notaries Public, Civil Executive Officers (Gov. Code Sec. 1001), Judicial Officers, Justices of the Peace, and county officials named in Gov. Code Sections 24000, 24057, such as, district attorneys, sheriffs, county clerks, members of boards of supervisors, etc., or (2) by any University Officer or employee who has been authorized in writing by The Regents to administer such oaths.

WHO MUST SIGN THE OATH: All persons (other than aliens) employed by the University, in common with all other California public employees, whether with or without compensation, must sign the oath. (Calif. Constitution, Article XX, Section 2, Calif. Gov. Code Sections 3100-3102.)

All persons re-employed by the University after a termination of service must sign a new Oath if the date of re-employment is more than one yearafter the date on which the previous Oath was signed (Calif. Gov. Code Sec. 3102.)

WHEN OATH MUST BE SIGNED: The Oath must be signed BEFORE the Section 3: Calif. Gov. Code Sec. 3102.)

WHERE OATHS ARE FILED: The Oaths of all employees of the University shall be filed with the Campus Accounting Office.

FAILURE TO SIGN OATH: No compensation for service performed prior to his subscribing to the Oath or affirmation may be paid to a University employee. And no reimbursement for expenses incurred may be paid prior to his subscribing to the Oath or affirmation. (Calif. Gov. Code Sec. 3107.)

PENALTIES: "Every person who, while taking and subscribing to the Oath or affirmation required by this chapter, states as true any material which he knows to individual enters upon the duties of employment (Calif. Constitution, Article XX, be false, is guilty of perjury, and is punishable by imprisonment in the state prison not less than one or more than 14 years." (Calif. Gov. Code Sec. 3108.)

PATENT ACKNOWLEDGMENT

This acknowledgment is made by me to The Regents of the University of California, a corporation, hereinafter called "University," in part consideration of my employment, and of wages and/or salary to be paid to me during any period of my employment, by University, and/or my utilization of University research facilities and/or my receipt of gift, grant, or contract research funds through the University.

By execution of this acknowledgment. I understand that I am not waiving any rights to a percentage of royalty payments received by University, as set forth in the University of California Patent Policy, hereinafter called "Policy."

I also understand and acknowledge that the University has the right to change the Policy from time to time, including the percentage of net royalties paid to inventors, and that the policy in effect at the time an invention is disclosed shall govern the University's disposition of royalties, if any, from that invention. Further, I acknowledge that the percentage of net royalties paid to inventors is derived only from consideration in the form of money or equity received under: 1) a license or bailment agreement for licensed rights, or 2) an option or letter agreement leading to a license or bailment agreement. I also acknowledge that the percentage of net royalties paid to inventors is not derived from research funds or from any other consideration of any kind received by the University. The Policy on Accepting Equity When Licensing University Technology governs the treatment of equity received in consideration for a license.

I acknowledge my obligation to assign, and do hereby assign, inventions and patents that I conceive or develop 1) within the course and scope of my University employment while employed by University, 2) during the course of my utilization of any University research facilities, or 3) through any connection with my use of gift, grant, or contract research funds received through the University. I further acknowledge my obligation to promptly report and fully disclose the conception and/or reduction to practice of potentially patentable inventions to the University authorized licensing office. Such inventions shall be examined by the University to determine rights and equities therein in

accordance with the Policy. I shall promptly furnish University with complete information with respect to each.

In the event any such invention shall be deemed by University to be patentable or protectable by an analogous property right, and University desires, pursuant to determination by University as to its rights and equities therein, to seek patent or analogous protection thereon, I shall execute any documents and do all things necessary, at University's expense, to assign to University all rights, title, and interest therein and to assist University in securing patent or analogous protection thereon. The scope of this provision is limited by Calif. Labor Code Sec. 2870, to which notice is given below. In the event I protest the University's determination regarding any rights or interest in an invention, I acknowledge my obligation: (a) to proceed with any University requested assignment or assistance; (b) to give University notice of that protest no later than the execution date of any of the above-described documents or assignment; and (c) to reimburse University for all expenses and costs it encounters in its patent application attempts, if any such protest is subsequently sustained or agreed to.

I acknowledge that I am bound to do all things necessary to enable University to perform its obligations to grantors of funds for research or contracting agencies as said obligations have been undertaken by University.

University may relinquish to me all or a part of its right to any such invention, if, in its judgment, the criteria set forth in the Policy have been met.

I acknowledge that I am bound during any periods of employment by University or for any period during which I conceive or develop any invention during the course of my utilization of any University research facilities, or any gift, grant, or contract research funds received through the University.

In signing this acknowledgment, I understand that the law, of which notification is given below, applies to me, and that I am still required to disclose all my inventions to the University.

NOTICE: This acknowledgment does not apply to an invention which qualifies under the provision of Calif. Labor Code Sec. 2870 which provides that (a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employee shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either: (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or (2) Result from any work performed by the employee for the employer. (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable. In any suit or action arising under this law, the burden of proof shall be on the individual claiming the benefits of its provisions

RETENTION: Accounting: 5 years after separation, except in cases of disability, retirement or disciplinary	Employee/Guest Name (Please print):	
action, in which case retain until age 70.	Employee/Guest Signature:	Date:
Other Copies: 0-5 years after separation	Witness Signature & University Acceptance:	Date:

STATEMENT CONCERNING YOUR EMPLOYMENT IN A UNIVERSITY POSITION NOT COVERED BY SOCIAL SECURITY

UCRS 419 (R7/19) University of California Human Resources

Use this form to comply with the Social Security Protection Act of 2004, Section 419(c) of Public Law 108–203, which requires employers to provide a written notice to any individual being employed in a position that is not covered by Social Security on or after January 1, 2005. This form explains how not being subject to Social Security may affect future Social Security benefits to which these individuals may become entitled. This form must be signed by the employee no later than the first day of work, as explained further below.

WHERE TO OBTAIN THIS FORM

Hiring authorities/those responsible for processing new hires may download the form available on the UCnet website at ucnet.universityofcalifornia.edu/forms/pdf/ucrs-419.pdf.

WHO MUST SIGN THE FORM

Ensure that all new hires in the following categories complete and sign the form:

- Safety personnel covered by the UC Retirement Planfor Safety Members (police and fire fighters)
- Other UC Retirement Plan Members who are exempt from paying Social Security
 - Nonresident aliens living and working outside the U.S.
 - Nonresident aliens exempt from Social Security because of an F-1 or J-1 visa status
 - Nonresident aliens whose wages are subject to taxes or contributions under the social security system of a foreign country with which the U.S. has a totalization agreement
- All Defined Contribution Plan Safe Harbor Employees
 - Part-time, seasonal, and temporary employees who are not covered by Social Security
 - Non-exempt UC student employees who do not satisfy certain course load requirements
 - Resident aliens with F-1 and J-1 visa status
- Employees who do not contribute to a retirement system who are not covered by Social Security
 - Exempt UC student employees who do satisfy certain course load requirements
 - Nonresident aliens living and working outside the U.S.
 - Nonresident aliens exempt from Social Security because of an F-1 or J-1 visa status
 - Nonresident aliens whose wages are subject to taxes or contributions under the social security system of a foreign country with which the U.S. has a totalization agreement

FORM COMPLETION DEADLINE

Employees in the above categories must receive, sign, and return the form to the departmental representative or hiring authority before beginning work, and no later than the beginning of the first day of work.

Note: An employee must complete the form **each time** he or she is newly hired or rehired in a new appointment in one of the above categories. Thus, a part-time student employee who leaves a job during summer break but is rehired into that or another job in one of the above categories in the fall must sign another form in the fall, etc.

COMPLETING THE FORM

Ensure that all entries in item #1, "Employee & University Information," are completed, and that the employee has signed and dated the form.

DISTRIBUTION OF SIGNED FORM

REQUIRED: Within 30 days after the form is signed, send signed form to:

UC RASC—Records Management P.O. Box 24570 Oakland, CA 94623-1570

RECOMMENDED: Give a photocopy of the form to the employee.

OPTIONAL: Retain a photocopy of the form in the employee's personnel file.

STATEMENT CONCERNING YOUR EMPLOYMENT IN A UNIVERSITY POSITION NOT COVERED BY SOCIAL SECURITY

UCRS 419 (R7/19) University of California Human Resources

Send completed form to: UC RASC—Records Management P.O. Box 24570 Oakland CA 94623-1570

1. EMPLOYEE AND UNIVERSITY INFORMATION			
EMPLOYEE NAME (Last, First, Middle Initial)	EMPLOYEE NUMBER	SOCIAL SECURITY NUMBER	
CAMPUS/LAB	DEPARTMENT	DATE OF HIRE	

Your earnings from this position are not covered under Social Security. When you retire, or if you become disabled, you may receive a UC defined contribution plan (DC plan) or UC Retirement Plan (UCRP) benefit based on earnings from this position. If you do, and you are also entitled to a benefit from Social Security based on either your own work or the work of your husband or wife, or former husband or wife, your DC plan or UCRP benefit may affect the amount of the Social Security benefit you receive. Your Medicare benefits, however, will not be affected.

Under the Social Security law, there are two ways your Social Security benefit amount may be affected.

1. Windfall Elimination Provision

Under the Windfall Elimination Provision, your Social Security retirement or disability benefit is figured using a modified formula when you are also entitled to a plan benefit from a job where you did not pay Social Security tax. As a result, you will receive a lower Social Security benefit than if you were not entitled to a plan benefit from this job.

For example, if you are age 62 in 2019, the maximum monthly reduction in your Social Security benefit as a result of this provision is the lesser of \$463 or one-half of your pension earned from employment not covered by Social Security. This amount is updated annually. This provision reduces, but does not totally eliminate, your Social Security benefit. For additional information, please refer to the Social Security publication, "Windfall Elimination Provision."

2 GovernmentPensionOffsetProvision

Under the Government Pension Offset Provision, any Social Security spouse or widow(er) benefit to which you become entitled will be offset if you also receive a federal, state or local government plan benefit based on work where you did not pay Social Security tax. The offset reduces the amount of your Social Security spouse or widow(er) benefit by two-thirds of the amount of your government plan benefit.

For example, if you receive a monthly government plan benefit of \$600 based on earnings that are not covered under Social Security, two-thirds of that amount, \$400, is used to offset your Social Security spouse or widow(er) benefit. If you are eligible for a \$500 widow(er) benefit, you will receive \$100 per month from Social Security (\$500 – \$400 = \$100).

Even if your government plan benefit is high enough to totally offset your spouse or widow(er) Social Security benefit, you are still eligible for Medicare at age 65. For additional information, please refer to the Social Security publication, "Government Pension Offset."

2. FOR ADDITIONAL INFORMATION

Social Security publications and additional information, including information about exceptions to each provision, are available at www.socialsecurity.gov. You may also call toll free 1-800-772-1213, or, for the deaf or hard of hearing, call the TTY number 1-800-325-0778, or contact your local Social Security office.

3. REQUIRED SIGNATURE

I certify that I have received Form UCRS 419 that contains information about the possible effects of the Windfall Elimination Provision and the Government Pension Offset Provision on my potential future Social Security benefits.

SIGNATURE OF EMPLOYEE	DATE

PRIVACY NOTIFICATIONS

STATE

The State of California Information Practices Act of 1977 (effective July 1, 1978) requires the University to provide the following information to individuals who are asked to supply information about themselves.

The principal purpose for requesting information on this form, including your Social Security number, is to verify your identity, and/or for benefits administration, and/or for federal and state income tax reporting. University policy and state and federal statutes authorize the maintenance of this information.

Furnishing all information requested on this form is mandatory. Failure to provide such information will delay or may even prevent completion of the action for which the form is being filled out. Information furnished on this form may be transmitted to the federal and state governments when required by law.

Individuals have the right to review their own records in accordance with University personnel policy and collective bargaining agreements. Information on applicable policies and agreements can be obtained from campus or Office of the President Staff and Academic Personnel Offices.

The official responsible for maintaining the information contained on this form is the Vice President—University of California Human Resources, 1111 Franklin Street, Oakland, CA 94607-5200.

FEDERAL

Pursuant to the Federal Privacy Act of 1974, you are hereby notified that disclosure of your Social Security number is mandatory. The University's record keeping system was established prior to January 1, 1975 under the authority of The Regents of the University of California under Article IX, Section 9 of the California Constitution. The principal uses of your Social Security number shall be for state tax and federal income tax (under Internal Revenue Code sections 6011, 6051 and 6059) reporting, and/or for benefits administration, and/or to verify your identity.



FACTS ABOUT WORKERS' COMPENSATION

The content of this pamphlet has been approved by the Administrative Director of the Division of Workers' Compensation.

The information in this pamphlet is available in Spanish. To obtain a copy, please call: UCLA Workers' Compensation 310 794-6948. La información en este folleto esta traducido al español. Para conseguir una copia, favor de llamar: UCLA Workers' Compensation 310 794-6948.

WHAT IT IS

Since 1913, California Workers' Compensation law has guaranteed prompt, automatic benefits to workers who become injured or ill because of their jobs. It is mandatory no-fault insurance, paid for entirely by your employer, that pays your medical expenses and helps replace lost wages when you are disabled from work because of a work-related injury or illness.

WHO IT COVERS

All UCLA employees and registered volunteers are covered for Workers' Compensation.

WHAT IT COVERS

Almost any job-related injury or illness is covered. Simple first-aid incidents and serious accidents are both covered. Physical and psychological injuries incurred by victims of violent workplace crime are covered. There are a few injuries that may not be covered depending on how they occur; for instance, injuries that result from voluntary, off-duty recreational, social, or athletic activities are not covered. If you wish more information on the types of injuries not covered by workers' compensation, contact the UCLA Workers' Compensation Office at 310 794-6948.

HOW TO REPORT AN INJURY

Immediately report to your supervisor any injury, no matter how slight. You can also report your injury to UCLA WC at 310 794-6948. If your injury is more than a simple first-aid case, your Human Resource office will give you a Claim Form (DWC 1), with instructions to complete the form and return it. You can also obtain a claim form on the UCLA WC web site at: http://www.oirm.ucla.edu/DWCForm1.pdf or you can call UCLA WC at 310 794-6948 and request that a claim form be mailed to you.

State law requires employers to authorize medical treatment within one working day of receiving the completed claim form from you. If you delay reporting your injury or delay completing the claim form, it may result in a delay in receiving benefits; and too long a delay may even jeopardize your right to obtain benefits altogether.

Work Injury Reporting Hotline 877 682-7778

Supervisors, managers, and staff can now call a toll-free number to report any injury. This service is available 24 hours a day, seven days a week. Employees should continue to promptly inform their supervisor if they have been injured, and, in an emergency, urgent medical care should be sought immediately.

NON-DISCRIMINATION

It is illegal for your employer to fire you or in any way discriminate against you because you file a claim, intend to file a claim, settle a claim, testify or intend to testify for another injured worker. If it is found that UCLA discriminated, UCLA may be ordered to reinstate you to your job, reimburse you for lost wages and employment benefits, and pay increased workers' compensation benefits, costs and expenses up to maximum amounts set by state law.

EMERGENCY PHONE NUMBERS

Doctor: Occupational Health Facility 310-825-6771

Fire:
Police:
Hospital:
Ambulance:

911 (cell phone 310 825-1491)

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EMPLOYER REPRESENTATIVE

Insurance & Risk Manage Workers Compensation 10920 Wilshire Blvd. #860 Los Angeles, CA 90024-1352 Tel: 310-794-6948 (UCLA is self-insured)

CLAIMS ADMINISTERED BY:

Sedgwick Claims Management Services P.O. Box 14533 Lexington, KY 40512-4533 Tel: 310-253-7500

DWC INFO & ASSISTANCE OFFICE

4720 Lincoln Blvd Marina del Rey, CA 93117 Tel: 310-482-3858

IF YOU HAVE OTHER QUESTIONS

Please see the telephone numbers above. You can contact UCLA WC at 310 794-6948 or Sedgwick CMS at 310-253-7500. You can also contact an Information and Assistance officer at the State Division of Workers' Compensation (DWC) at 310-482-3858 Information and Assistance officers provide continuing information on rights, benefits, and obligations. They assist in the prompt resolution of misunderstandings and disputes without formal proceedings to the end that full and timely benefits are furnished. Their services are available to you at no cost. You can hear recorded information and a list of local offices by calling 800-736-7401.

You can also check the local listing in the phone book under State Government Offices/Industrial Relations/Workers' Compensation. You may also go to the DWC web site at www.dwc.ca.gov, and link to Workers' Compensation. There you will find informational pamphlets approved by the Division of Workers' Compensation and distributed by the Information and Assistance officers.

BENEFITS

Medical Care

Your claims administrator will pay all reasonable and necessary medical care for your work injury or illness. Medical benefits may include treatment by your doctor, hospital services, physical therapy, lab tests, x-rays, and medicines. Your claims administrator will pay the costs directly, so you should never see a bill. For injuries occurring on or after 1/1/04, there is a limit on some medical services.

How to Obtain Medical Care

FIRST AID:

Seek first-aid immediately.

EMERGENCY CARE:

Get help immediately. See the emergency telephone numbers in this pamphlet, which should also be posted in your workplace. Call an ambulance or go to the nearest emergency room.

ACUTE AND FOLLOW-UP CARE:

- A. If you predesignated your personal M.D. or D.O. (see form in this pamphlet): Contact your physician as soon as possible and make arrangements for treatment.
- B. If you did not predesignate your personal M.D. or D.O.: Call UCLA WC at 310-794 6948 as soon as possible to help you make arrangements for treatment.

Temporary Disability Payments

If you are disabled for more than three (3) calendar days, temporary disability payments will partially replace your lost wages. The first three calendar days are not paid unless you are disabled for more than 14 days, or are hospitalized overnight. You should receive your first payment within two weeks of reporting your injury. Every two weeks after that, you will receive another payment.

Temporary Disability pays two-thirds of your average wage, subject to minimum and maximum amounts set by state law. The payments are tax-free and there are no deductions.

TD payments stop when your doctor says you can return to work, or your condition has become Permanent and Stationary (your medical recovery has reached maximum foreseeable improvement). Also, for injuries occurring on or after April 19, 2004, TD payments stop after 104 payable weeks within two years from the date of the first TD payment; or after 240 payable weeks within five years from the date of injury for specific long-term conditions such as amputations, severe burns, and certain chronic diseases.

Permanent Disability Payments

If a doctor says your injury or illness will always leave you somewhat limited in your ability to work, you may be eligible for permanent disability payments. The amount will depend on the type of injury, your age, occupation, date of injury, and how much of the permanent disability was caused by the work injury. There are minimum and maximum amounts set by state law. Payments are made at a regular rate and are spread out over a fixed number of weeks until the total amount has been paid. If you received temporary disability payments, the first permanent disability payment is due within 14 days after the TD payments stopped. If you did not receive TD payments (many people with permanent disability keep working), the first permanent disability payment is due within 14 days after your doctor says your condition is permanent and stationary (your medical recovery has reached maximum foreseeable improvement). Subsequent payments are made every 14 days until the total amount is paid.

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Death Benefits

If the injury or illness causes death, payments may be made to relatives or household members who are financially dependent on you. The amount is set by state law and depends on the number of your financial dependents. Payments are made at the same rate as temporary disability. A burial allowance is also provided.

Supplemental Job Displacement Benefits

If you have permanent disability and you do not return to work within 60 days after your temporary disability ends, and the University does not offer modified or alternative work, you may qualify for a non-transferable voucher payable to a school for retraining and/or skill enhancement. If you qualify, the claims administrator will pay the costs up to the maximum set by state law based on your percentage of permanent disability. SJDB is a benefit for injuries occurring on or after 1/1/04.

If Benefits Are Denied

You have the right to disagree with any decision affecting your claim. Call your claims administrator first to see if you can resolve any disagreement. For free assistance, you can contact an Information and Assistance officer at the Division of Workers' Compensation (see the section of this pamphlet captioned "If You Have Other Questions"). You can also file with the Workers' Compensation Appeals Board (WCAB). There are deadlines for filing the necessary WCAB paperwork, so you should not delay. You can also consult with an attorney. Most attorneys offer one free consultation. If you decide to hire an attorney, his or her fee will be taken out of your benefits. For names of W/C attorneys, call the State Bar of California at (415) 538-2120 or go to their web site at www.californiaspecialist.org.

YOUR TREATING PHYSICIAN

Quality medical care is crucial to making the best recovery from your work injury or illness.

Primary Treating Physician (PTP)

Your primary treating physician (PTP) is the doctor with overall responsibility for treating your work injury or illness and for coordinating care with other providers. The PTP decides what type of medical care you need; whether there are temporary or permanent medical limitations or restrictions on your ability to perform work; and when you are able to return to work.

If the injury results in some degree of permanent disability, the PTP will measure the disability and report the findings to your claims administrator. The PTP will also report whether you will need medical care in the future. As part of your Workers' Compensation benefits, the University will provide you with a PTP.

Personal Physician (M.D. or D.O.)

If you have a personal M.D. or D.O. and you wish to designate this physician to be your PTP, you must do so in writing before the injury occurs. In addition, before the injury occurs, the physician must agree to treat you for a work related injury or illness.

One-Time Right to Change PTP

You have the right to change your PTP one time. You can request this change at any time.

Change of PTP: First 30 Days

If you make your request to change PTP during the first 30 days after reporting your injury, you can change to your personal chiropractor or acupuncturist if you have predesignated this physician.

Change of PTP: After 30 Days

If you have not already used your one-time change of PTP, then thirty (30) days after reporting your injury, you may change to the PTP of your own choice. This can be your personal M.D. or D.O., your personal chiropractor, personal acupuncturist, or any physician of your choice within a reasonable geographic area.

Medical Provider Network (MPN)

Employers may offer an Medical Provider Network (MPN), which is a selected network of health care providers to provide treatment to workers injured on the job. If the employer is using an MPN, a MPN notice is required to be posted in the worksite to explain how to use an MPN. **UCLA** is not using an MPN.

WORKERS' COMPENSATION FRAUD IS A FELONY

Anyone who makes or causes to be made any knowingly false or fraudulent material statement for the purpose of obtaining or denying workers' compensation benefits or payments is guilty of a felony.

PHYSICIAN PREDESIGNATION FORM

In the event you sustain an injury/illness related to your employment, you may be treated for such injury/illness by your personal medical doctor (M.D.), doctor of osteopathic medicine (D.O.), medical group, chiropractor (D.C.) or acupuncturist (L.A.C.) if:

Your personal medical physician (M.D. or D.O.) chiropractor (D.C.) or acupuncturist (L.A.C.)

- Is your regular treatment provider
- Has directed your treatment in the past
- Retains your treatment records and history
- Prior to the injury your doctor agrees to treat you for work injuries or illnesses
- Prior to the injury you give your employer the name and address of your personal physician in writing before the injury, then
- You can treat with your personal M.D. or D.O. immediately after the injury.
- You can change to your personal D.C. or L.A.C. if you exercise your right to one change of treating physician.

Your personal M.D. or D.O. must agree to treat you for work injuries or illnesses before one occurs.

NOTICE OF PREDESIGNATION OF PERSONAL PHYSICIAN

Employee: Complete this section.			
То:	(name of employer) If I have a work-related injury or illness,		
I choose to be treated by (Name of doctor M.D.	o., D.O., Medical Group, D.C. or L.A.C.):		
Street address, city, state, ZIP:			
Telephone number:			
Employee Name (please print):			
Employee's Address:			
Employee's Signature	Employee ID#	Date:	
Physician: I agree to this Predesignation:			
Signature:		Date:	
	ver, if the physician or designated employee of the physicia be required pursuant to Title 8,California Code of Regulatior	- ·	
the claims administrator shall contact your personal $% \left(1\right) =\left(1\right) \left(1\right) $	83.DWC Form 9783 Note to Employee: Unless an employe physician to confirm a Predesignation (CCR9780.1 (f). If you d prior to the injury will be required. If you agree that after confirm the predesignation, sign below.	ur physician did not sign above, other	
Employee's Signature	Employee ID#	Date:	
Note to Physician : California Workers' Comper utilization review; reporting requirements; and	nsation medical services are subject to preauthorizati I the California Official Medical Fee Schedule.	ion of non-emergency services;	

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